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Harvatex Engineering and Processing Co. Ltd.

Admin. Office: 9/14, (First Floor) East Patel Nagar, New Delhi – 110008

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CIN: L28939WB1982PLC035023

The President
Kolkata Stock Exchange Ltd
7- Lyons Range
Kolkata – 700001

Date: January 04, 2017

Ref	CSE Scrip Code: 18099 & 10018099
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Dear Sir,

Sub: Shareholding Pattern [Regulation 31(1)(b) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015] & **Corporate Governance Report** [Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015] for Quarter ended December 31, 2016.

Pursuant to requirement of the Regulation 31(1)(b) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions, if any, please find enclosed herewith Shareholding Pattern for the Quarter ended December 31, 2016.

Further Pursuant to requirement of the Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions if any, please find enclosed herewith Compliance Report on Corporate Governance for the Quarter ended December 31, 2016.

Please acknowledge receipt
For any further documents/information, please do let us know.

Thanking you
Yours faithfully

For Harvatex Engineering & Processing Co. Ltd.

For Harvatex Engineering & Processing Co. Ltd.

Rajat Kumar
Director/Authorised Signatory
(Director)
(DIN: 06535125)

Encl: Share Holding Pattern for Quarter ended December 31, 2016
Corporate Governance Report for Quarter ended December 31, 2016

Registered Office: 11C, Ram Mohan Dutta Road, Ground Floor, Kolkata - 700020

Share Holding Pattern per Regulation 31(1) of the SEBI(Listing Obligations and Disclosure Requirements) Regulations 2015

1. Name of Listed Entity: **Harvatex Engineering and Processing Co. Ltd.**
2. Scrip Code/Name of Scrip/Class of Security: **18099/Equity**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) : **Regulation 31(1)(b)**
- a. If under 31(1)(b) then indicate the report for Quarter ending : **31st December, 2016**
- b. If under 31(1)(c) then indicate date of allotment/extinguishment : **NA**

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4 Whether the Listed Entity has any shares in locked-in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows :

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities as a percentage of diluted share capital (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights				No. (a)	As a % of total Shares held (b)			No. (a)	As a % of total Shares held (b)
								Class X	Class Y								
(A)	Promoter & Promoter Group	5	445200	0	0	445200	61.83	0	0.00	0	0.00	0	0.00	0	0		
(B)	Public	612	274800	0	0	274800	38.17	0	0.00	0	0.00	0	0.00	0	0		
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0		
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0		
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0		
	Total	617	720000	0	0	720000	100	0	0.00	0	0.00	0	0.00	0	0		

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	FAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)		
								No of Voting Rights					No. (a)	As a % of total Shares held (b)			No. (a)	As a % of total Shares held (b)
								Class X	Class Y	Total								
(1) Indian																		
(a) Individuals/Individual Family)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0		
(b) Central Government/ State (Government s)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0		
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0		
(d) Any Other (Hedge Corporates)		5	445200	0	0	445200	61.83	0	0.00	0	0.00	0	0.00	0	0	0		

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = (IV+V+VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
													No. (a)	As a % of total shares held (Not applicable) (b)	No. (No. (a) applicable)	As a % of total shares held (Not applicable) (b)	
			Class X		Class Y		Total										
Mansarovar Commercial Pvt Ltd	AAGCM0307D	0	79450	0	0	79450	11.03	0	0	0	0	0.00	0	0	0	0.00	0
Fishpathathi Commercial Pvt Ltd	AABCF3337D	0	100950	0	0	100950	14.02	0	0	0	0	0.00	0	0	0	0.00	0
Sovereign Commercial Pvt Ltd	AAKCS1827Q	0	92250	0	0	92250	13.23	0	0	0	0	0.00	0	0	0	0.00	0
Swastik Commercial Pvt Ltd	AAKCS1829A	0	48400	0	0	48400	6.72	0	0	0	0	0.00	0	0	0	0.00	0
Trishul Commercial Pvt Ltd	AAOCT5894K	0	121150	0	0	121150	16.83	0	0	0	0	0.00	0	0	0	0.00	0
Sub-Total (A)(1)		5	445200	0	0	445200	61.83	0	0	0	0	0.00	0	0	0	0.00	0
Foreign																	
Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Government		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Institutions		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		5	445200	0	0	445200	61.83	0	0	0	0	0.00	0	0	0	0.00	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(C) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = (IV+V+VI)	Shareholding % calculated as per SCRR,1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class X	Class Y	Total			No. (a)	As a % of total shares held (Not applicable) (b)	No. (No. (a) applicable)	As a % of total shares held (Not applicable) (b)	
Institutions		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Alternative Investment Funds		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Insurance Companies		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0
Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0.00	0

Category & Name of the Shareholders (I)	PAN (II)	No. of shares held (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	No. of Voting Rights	Total as a % of Total Voting Rights (IX)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked-in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	As a % of total shares held (Not applicable) (XIV)	Number of equity shares held in dematerialized form (XV)
		No. of Voting Rights		Class X		Class Y		Total		As a % of total shares held		As a % of total shares held (Not applicable)			
(b) Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00	0
(c) Non-institutions															
Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs		606	157840	0	0	157840	21.92	0	0.00	0	0.00	0	0	0.00	0
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		3	69960	0	0	69960	9.71	0	0.00	0	0.00	0	0	0.00	0
Sh. Manna Kr. Sultana			25000	0	0	25000	3.47	0	0.00	0	0.00	0	0	0.00	0
Sh. Parmeshwar Lal Sultana			24950	0	0	24950	3.46	0	0.00	0	0.00	0	0	0.00	0
Sh. Ajit Kumar Dey			20010	0	0	20010	2.78	0	0.00	0	0.00	0	0	0.00	0
(b) NBFIs registered with RBI		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00	0
(c) Employee Trusts		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00	0
(e) Any Other (specify) Body Corporate		3	47000	0	0	47000	6.53	0	0.00	0	0.00	0	0	0.00	0
Sub-Total (B)(3)		612	274800	0	0	274800	38.16	0	0.00	0	0.00	0	0	0.00	0
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		612	274800	0	0	274800	38.16	0	0.00	0	0.00	0	0	0.00	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %)

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.
- (3) W.L.T. the information pertaining to Depositors, Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shares held (III)	No. of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	No. of Voting Rights		Total as a % of Total Voting Rights (IX)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked-in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)	As a % of total shares held (Not applicable) (XIV)	Number of equity shares held in dematerialized form (XV)
								Class X	Class Y				Total	As a % of total shares held			
(1) Custodian/DR Holder																	
(a) Name of DR Holder (if available)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00	0	0.00	0
(i)		0															
(ii)		0															
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00	0	0.00	0
(a)																	
Total Non Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0.00	0	0.00	0	0	0.00	0	0.00	0

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.
- (3) W.L.T. the information pertaining to Depositors, Receipts, the same may be disclosed in the respective columns to the extent information available.

Name & Designation

Date: 04/01/2017

For Harvatex Engineering & Processing Co. Ltd.

For Harvatex Engineering and Processing Co. Ltd.

Director/Authorised Signatory
Rajat Kumar